

## Premier Fund Managers Limited (CRN: 02274227)

### s.172 (1) Companies Act 2006 disclosures

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#### Stakeholder Interests

In promoting the success of the Company, the Directors are called upon to have regard, amongst other things, to the provisions of section 172 of the Companies Act 2006, which requires the Board to consider the wider implications of its decision making. The ways in which the Directors have discharged their duties in accordance with s.172 are described below.

#### Shareholders

The Group undertakes a programme of investor engagement to ensure shareholders are kept apprised of progress throughout the course of the year. Throughout the year, dividend payments were made to the sole shareholder to satisfy a dividend payment at the Group level.

#### People

Our team are instrumental in the execution of our Group strategy, and the Board receive regular updates on people initiatives from the HR Director. Additionally, the SMCR function holders in relation to this entity provide assurance at regular intervals that responsibilities are being met, and SMCR focused training is undertaken regularly. The Board seek to ensure the establishment and maintenance of a compliant culture with good conduct across the Group through appropriate policies, monitoring and reporting.

#### Clients

Our purpose is to provide investment products that meet the needs of investors. The overall success of the business depends on how well we achieve this mission. The Board receives regular updates on advisory and discretionary client engagement to understand how client needs are evolving. Regular reporting on the product governance process is received to ensure that responsibilities, such as to act in the best interests of our clients, and ensure products and services meet investors' expectations, are met.

#### Regulators

As a UK asset manager largely serving UK investors, we are regulated by the Financial Conduct Authority. We are actively engaged, notably via the Quoted Companies Alliance and the Investment Association to ensure that we remain alert to legal and regulatory change which we consider ensures that we continue to act in the best interests of our clients. A regulatory change log is included at each meeting which highlights any impact and timing of incoming regulatory change.

**Suppliers**

The selection process and engagement with any third-party providers is guided by our Procurement Policy, supported by suitable due diligence processes, training and specific policies where applicable, and in accordance with the intentions of our Modern Slavery statement. Together these measures aim to minimise risks inherent in supply chains. Regular monitoring is undertaken of the outsourced third-party supplier relationships.

**Community and Environment**

The Group is committed to an active and growing role in corporate social responsibility and building a more sustainable future. An active Environmental Committee has developed key initiatives including our work towards net zero carbon emissions, calculating our greenhouse gas emissions and submitting the Group's Climate Disclosure Project climate change disclosure assessment. The assessment allows investors to understand the ways the Group incorporates sustainability into its business strategy and practices. Active engagement is undertaken with industry bodies on Responsible Investment and climate change matters. Additionally, the Group manages five specialist funds focused on the consideration of ethical or sustainable factors. The fund managers of these funds work closely with the Head of Responsible Investing.

**Approved by the Board on 02 December 2021**