

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### Multipartner Sicav - Robecosam Smart Materials Fund

Meeting Date: 06/01/2020

Meeting Type: Annual

Primary Security ID: L5783H283

Ticker: K4DW3R.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive and Approve Board's and Auditor's Reports	Mgmt	For	For
2	Approve Financial Statements	Mgmt	For	For
3.1	Approve Discharge of Director Freddy Brausch	Mgmt	For	For
3.2	Approve Discharge of Director Andrew Hanges	Mgmt	For	For
3.3	Approve Discharge of Director Martin Jufer	Mgmt	For	For
3.4	Approve Discharge of Director Jean-Michel Loehr	Mgmt	For	For
3.5	Approve Discharge of Director Patrick Moser	Mgmt	For	For
4	Approve Allocation of Income of Multipartner SICAV - J.P. Morgan Multi Asset Obiettivo Cedola 2023	Mgmt	For	For
5	Transact Other Business (Voting)	Mgmt	For	For

### HSBC MSCI China A Inclusion UCITS ETF

Meeting Date: 15/01/2020

Meeting Type: Court

Primary Security ID: G4654S615

Ticker: HMCA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court Meeting	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	For

### HSBC MSCI China A Inclusion UCITS ETF

Meeting Date: 15/01/2020

Meeting Type: Special

Primary Security ID: G4654S615

Ticker: HMCA

## Vote Summary Report

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Adoption of an International Central Securities Depository Model of Settlement	Mgmt	For	For
2	Adopt New Articles of Association	Mgmt	For	For

## Premier Global Infrastructure Trust PLC

Meeting Date: 15/01/2020

Meeting Type: Special

Primary Security ID: G7218Y101

Ticker: PGIT

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Matters Relating to the Relevant Distributions	Mgmt	None	For

## Residential Secure Income Plc

Meeting Date: 15/01/2020

Meeting Type: Annual

Primary Security ID: G75239106

Ticker: RESI

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Re-elect Robert Whiteman as Director	Mgmt	For	For
4	Re-elect Robert Gray as Director	Mgmt	For	For
5	Re-elect Mike Emmerich as Director	Mgmt	For	For
6	Re-elect John Carleton as Director	Mgmt	For	For
7	Reappoint BDO LLP as Auditors	Mgmt	For	For
8	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
9	Approve Dividend Policy	Mgmt	For	For
10	Authorise Issue of Equity	Mgmt	For	For

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11	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
12	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
13	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Eastspring Investments - Japan Smaller Companies

**Meeting Date:** 17/01/2020

**Meeting Type:** Special

**Primary Security ID:** L2675A203

**Ticker:** N/A

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Various Amendments to the Articles of Incorporation Further to Modernization of the Luxembourg Law of 10 August 1915 on Commercial Companies	Mgmt	For	For
2	Approve Renumbering of the Articles, Introduction of Denominations for Each Article and Full Restatement to the Articles of Incorporation	Mgmt	For	For
3	Transact Other Business (Non-Voting)	Mgmt		

## Countryside Properties Plc

**Meeting Date:** 23/01/2020

**Meeting Type:** Annual

**Primary Security ID:** G24556170

**Ticker:** CSP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	Against
3	Approve Remuneration Policy	Mgmt	For	For
4	Approve Final Dividend	Mgmt	For	For
5	Elect Simon Townsend as Director	Mgmt	For	For
6	Elect Iain McPherson as Director	Mgmt	For	For
7	Re-elect David Howell as Director	Mgmt	For	For
8	Re-elect Mike Scott as Director	Mgmt	For	For

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Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

9	Re-elect Douglas Hurt as Director	Mgmt	For	For
10	Re-elect Amanda Burton as Director	Mgmt	For	For
11	Re-elect Baroness Sally Morgan as Director	Mgmt	For	For
12	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
13	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
14	Authorise Issue of Equity	Mgmt	For	For
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
16	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
17	Authorise EU Political Donations and Expenditure	Mgmt	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	Against
3	Approve Remuneration Policy	Mgmt	For	For
4	Approve Final Dividend	Mgmt	For	For
5	Elect Simon Townsend as Director	Mgmt	For	For
6	Elect Iain McPherson as Director	Mgmt	For	For
7	Re-elect David Howell as Director	Mgmt	For	For
8	Re-elect Mike Scott as Director	Mgmt	For	For
9	Re-elect Douglas Hurt as Director	Mgmt	For	For
10	Re-elect Amanda Burton as Director	Mgmt	For	For
11	Re-elect Baroness Sally Morgan as Director	Mgmt	For	For
12	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
13	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
14	Authorise Issue of Equity	Mgmt	For	For
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
16	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For

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Location(s): All Locations

17	Authorise EU Political Donations and Expenditure	Mgmt	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Tcw Funds - Income Fund

Meeting Date: 28/01/2020

Meeting Type: Annual

Primary Security ID: L9018X600

Ticker: CYZDB0.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive and Approve Board's and Auditor's Reports	Mgmt	For	For
2	Approve Financial Statements	Mgmt	For	For
3	Approve Allocation of Income	Mgmt	For	For
4	Approve Discharge of Directors	Mgmt	For	For
5.a	Re-elect Directors	Mgmt	For	For
5.b	Renew Appointment of Auditor	Mgmt	For	For
6	Filings and Publications Required by Law	Mgmt	For	For

## Tcw Funds - Metwest Unconstrained Bond Fund

Meeting Date: 28/01/2020

Meeting Type: Annual

Primary Security ID: L9018W883

Ticker: SLRP97.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive and Approve Board's and Auditor's Reports	Mgmt	For	For
2	Approve Financial Statements	Mgmt	For	For
3	Approve Allocation of Income	Mgmt	For	For
4	Approve Discharge of Directors	Mgmt	For	For
5.a	Re-elect Directors	Mgmt	For	For
5.b	Renew Appointment of Auditor	Mgmt	For	For
6	Filings and Publications Required by Law	Mgmt	For	For

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Location(s): All Locations

### Visa Inc.

Meeting Date: 28/01/2020

Meeting Type: Annual

Primary Security ID: 92826C839

Ticker: V

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Lloyd A. Carney	Mgmt	For	Against
1b	Elect Director Mary B. Cranston	Mgmt	For	For
1c	Elect Director Francisco Javier Fernandez-Carbajal	Mgmt	For	For
1d	Elect Director Alfred F. Kelly, Jr.	Mgmt	For	For
1e	Elect Director Ramon L. Laguarta	Mgmt	For	For
1f	Elect Director John F. Lundgren	Mgmt	For	For
1g	Elect Director Robert W. Matschullat	Mgmt	For	For
1h	Elect Director Denise M. Morrison	Mgmt	For	For
1i	Elect Director Suzanne Nora Johnson	Mgmt	For	For
1j	Elect Director John A. C. Swainson	Mgmt	For	For
1k	Elect Director Maynard G. Webb, Jr.	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

### Amundi Prime Japan

Meeting Date: 31/01/2020

Meeting Type: Annual

Primary Security ID: L021A6595

Ticker: PR1J

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive Board's and Auditor's Reports	Mgmt		
2	Approve Financial Statements	Mgmt	For	For
3	Approve Allocation of Income	Mgmt	For	For
4	Approve Discharge of Directors	Mgmt	For	For

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5	Re-elect Valerie Baudson, Elodie Laugel and Christophe Lemarie as Directors; Acknowledge Resignations of Directors Didier Clermont and Julien Faucher; Elect Nicolas Vauleon and Jeanne Duvoux as Directors	Mgmt	For	For
6	Renew Appointment of Ernst and Young as Auditor	Mgmt	For	For
7	Transact Other Business (Voting)	Mgmt	For	Against

## Amundi Prime Japan

Meeting Date: 31/01/2020

Meeting Type: Special

Primary Security ID: L021A6595

Ticker: PR1J

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Article 20 Re: Redemption and Conversion of Shares	Mgmt	For	For
2	Amend Article 21 Re: Valuations and Suspension of Valuations	Mgmt	For	For
3	Amend Article 22 Re: Determination of Net Asset Value	Mgmt	For	For
4	Amend Articles 20, 21 and 22 Re: Replacement of Terms "Dealing Day" by "Valuation Day" or by "Transaction Day"	Mgmt	For	For
5	Amend Article 25 Re: Distribution Income	Mgmt	For	For
6	Transact Other Business (Non-Voting)	Mgmt		

## Shaftesbury Plc

Meeting Date: 31/01/2020

Meeting Type: Annual

Primary Security ID: G80603106

Ticker: SHB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Re-elect Jonathan Nicholls as Director	Mgmt	For	For
5	Re-elect Brian Bickell as Director	Mgmt	For	For

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6	Re-elect Simon Quayle as Director	Mgmt	For	For
7	Re-elect Thomas Welton as Director	Mgmt	For	For
8	Re-elect Christopher Ward as Director	Mgmt	For	For
9	Re-elect Richard Akers as Director	Mgmt	For	For
10	Re-elect Dermot Mathias as Director	Mgmt	For	For
11	Re-elect Jennelle Tilling as Director	Mgmt	For	For
12	Re-elect Sally Walden as Director	Mgmt	For	For
13	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
15	Authorise Issue of Equity	Mgmt	For	For
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
18	Authorise Market Purchase of Shares	Mgmt	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## BlackRock Frontiers Investment Trust Plc

Meeting Date: 04/02/2020

Primary Security ID: G1315L104

Meeting Type: Annual

Ticker: BRFI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Remuneration Policy	Mgmt	For	For
4	Approve Final Dividend	Mgmt	For	For
5	Re-elect Audley Twiston-Davies as Director	Mgmt	For	For
6	Re-elect Stephen White as Director	Mgmt	For	For
7	Re-elect Nicholas Pitts-Tucker as Director	Mgmt	For	For
8	Re-elect Sarmad Zok as Director	Mgmt	For	For
9	Elect Katrina Hart as Director	Mgmt	For	For



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10	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For
11	Authorise the Audit and Management Engagement Committee to Fix Remuneration of Auditors	Mgmt	For	For
12	Authorise Issue of Equity	Mgmt	For	For
13	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
14	Authorise Market Purchase of Shares	Mgmt	For	For
15	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Ingersoll-Rand plc

Meeting Date: 04/02/2020

Meeting Type: Special

Primary Security ID: G47791101

Ticker: IR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Change Company Name to Trane Technologies plc	Mgmt	For	For

## Neuberger Berman Invt. Funds Plc - Clo Income Fund

Meeting Date: 04/02/2020

Meeting Type: Special

Primary Security ID: G6430D739

Ticker: XP5LP5.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Changes to the Supplement in Respect of the Portfolio	Mgmt	For	For

## Grainger Plc

Meeting Date: 05/02/2020

Meeting Type: Annual

Primary Security ID: G40432117

Ticker: GRI

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Remuneration Policy	Mgmt	For	For
4	Approve Final Dividend	Mgmt	For	For
5	Re-elect Mark Clare as Director	Mgmt	For	For
6	Re-elect Helen Gordon as Director	Mgmt	For	For
7	Re-elect Vanessa Simms as Director	Mgmt	For	For
8	Re-elect Andrew Carr-Locke as Director	Mgmt	For	For
9	Re-elect Rob Wilkinson as Director	Mgmt	For	For
10	Re-elect Justin Read as Director	Mgmt	For	For
11	Elect Janette Bell as Director	Mgmt	For	For
12	Reappoint KPMG LLP as Auditors	Mgmt	For	For
13	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
14	Authorise Issue of Equity	Mgmt	For	For
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
17	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For
19	Authorise EU Political Donations and Expenditure	Mgmt	For	For
20	Amend Long-Term Incentive Plan	Mgmt	For	For

## Imperial Brands Plc

Meeting Date: 05/02/2020

Meeting Type: Annual

Primary Security ID: G4720C107

Ticker: IMB

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

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<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote Instruction</b>
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Re-elect Susan Clark as Director	Mgmt	For	For
5	Re-elect Alison Cooper as Director	Mgmt	For	For
6	Re-elect Therese Esperdy as Director	Mgmt	For	For
7	Re-elect Simon Langelier as Director	Mgmt	For	For
8	Re-elect Matthew Phillips as Director	Mgmt	For	For
9	Re-elect Steven Stanbrook as Director	Mgmt	For	For
10	Elect Jonathan Stanton as Director	Mgmt	For	For
11	Re-elect Oliver Tant as Director	Mgmt	For	For
12	Re-elect Karen Witts as Director	Mgmt	For	For
13	Appoint Ernst & Young LLP as Auditors	Mgmt	For	For
14	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
15	Authorise EU Political Donations and Expenditure	Mgmt	For	For
16	Authorise Issue of Equity	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

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<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote Instruction</b>
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Re-elect Susan Clark as Director	Mgmt	For	For
5	Re-elect Alison Cooper as Director	Mgmt	For	For

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6	Re-elect Therese Esperdy as Director	Mgmt	For	For
7	Re-elect Simon Langelier as Director	Mgmt	For	For
8	Re-elect Matthew Phillips as Director	Mgmt	For	For
9	Re-elect Steven Stanbrook as Director	Mgmt	For	For
10	Elect Jonathan Stanton as Director	Mgmt	For	For
11	Re-elect Oliver Tant as Director	Mgmt	For	For
12	Re-elect Karen Witts as Director	Mgmt	For	For
13	Appoint Ernst & Young LLP as Auditors	Mgmt	For	For
14	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For
15	Authorise EU Political Donations and Expenditure	Mgmt	For	For
16	Authorise Issue of Equity	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Urban&Civic plc

Meeting Date: 06/02/2020

Primary Security ID: G9294N108

Meeting Type: Annual

Ticker: UANC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Re-elect Alan Dickinson as Director	Mgmt	For	For
5	Re-elect Nigel Hugill as Director	Mgmt	For	For
6	Re-elect Robin Butler as Director	Mgmt	For	For
7	Re-elect David Wood as Director	Mgmt	For	For
8	Re-elect Ian Barlow as Director	Mgmt	For	For
9	Re-elect June Barnes as Director	Mgmt	For	For
10	Elect Rosemary Boot as Director	Mgmt	For	For

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11	Re-elect Jon Di-Stefano as Director	Mgmt	For	For
12	Elect Sanjeev Sharma as Director	Mgmt	For	For
13	Reappoint BDO LLP as Auditors	Mgmt	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
15	Authorise Issue of Equity	Mgmt	For	For
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
19	Authorise Political Donations and Expenditure	Mgmt	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## IG Design Group Plc

Meeting Date: 11/02/2020

Meeting Type: Special

Primary Security ID: G6694K106

Ticker: IGR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity in Connection with the Second Tranche Placing	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights with the Second Tranche Placing	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity in Connection with the Second Tranche Placing	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights with the Second Tranche Placing	Mgmt	For	For

## GCP Infrastructure Investments Ltd.

Meeting Date: 13/02/2020

Meeting Type: Annual

Primary Security ID: G3901C100

Ticker: GCP

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<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote Instruction</b>
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Re-elect Ian Reeves as Director	Mgmt	For	For
4	Re-elect Paul de Gruchy as Director	Mgmt	For	For
5	Re-elect David Pirouet as Director	Mgmt	For	For
6	Re-elect Michael Gray as Director	Mgmt	For	For
7	Re-elect Julia Chapman as Director	Mgmt	For	For
8	Elect Dawn Crichard as Director	Mgmt	For	For
9	Approve the Company's Dividend Policy	Mgmt	For	For
10	Ratify KPMG Channel Islands Jersey Limited as Auditors	Mgmt	For	For
11	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For
12	Authorise the Company to Hold Treasury Shares	Mgmt	For	For
13	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
14	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For

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<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Proponent</b>	<b>Mgmt Rec</b>	<b>Vote Instruction</b>
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Re-elect Ian Reeves as Director	Mgmt	For	For
4	Re-elect Paul de Gruchy as Director	Mgmt	For	For
5	Re-elect David Pirouet as Director	Mgmt	For	For
6	Re-elect Michael Gray as Director	Mgmt	For	For
7	Re-elect Julia Chapman as Director	Mgmt	For	For
8	Elect Dawn Crichard as Director	Mgmt	For	For
9	Approve the Company's Dividend Policy	Mgmt	For	For

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10	Ratify KPMG Channel Islands Jersey Limited as Auditors	Mgmt	For	For
11	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For
12	Authorise the Company to Hold Treasury Shares	Mgmt	For	For
13	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
14	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For

## GCP Infrastructure Investments Ltd.

**Meeting Date:** 13/02/2020

**Meeting Type:** Special

**Primary Security ID:** G3901C100

**Ticker:** GCP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity without Pre-emptive Rights Pursuant to the 2020 Placing Programme	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity without Pre-emptive Rights Pursuant to the 2020 Placing Programme	Mgmt	For	For

## Omega Geracao SA

**Meeting Date:** 13/02/2020

**Meeting Type:** Special

**Primary Security ID:** P7S591105

**Ticker:** OMGE3

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Agreement to Absorb Shares of CEA III - Centrais Eolica Assurua III SPE SA	Mgmt	For	For
2	Amend Article 5 to Reflect Changes in Capital Re: Acquisition of CEA III	Mgmt	For	For
3	Ratify Grant Thornton Auditores Independentes as the Independent Firm to Appraise Proposed Transaction	Mgmt	For	For

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4	Approve Independent Firm's Appraisal	Mgmt	For	For
5	Approve Absorption of CEA III - Centrais Eolica Assurua III SPE SA	Mgmt	For	For
6	Consolidate Bylaws	Mgmt	For	For
7	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Agreement to Absorb Shares of CEA III - Centrais Eolica Assurua III SPE SA	Mgmt	For	For
2	Amend Article 5 to Reflect Changes in Capital Re: Acquisition of CEA III	Mgmt	For	For
3	Ratify Grant Thornton Auditores Independentes as the Independent Firm to Appraise Proposed Transaction	Mgmt	For	For
4	Approve Independent Firm's Appraisal	Mgmt	For	For
5	Approve Absorption of CEA III - Centrais Eolica Assurua III SPE SA	Mgmt	For	For
6	Consolidate Bylaws	Mgmt	For	For
7	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For

## Phoenix Group Holdings Plc

Meeting Date: 13/02/2020

Meeting Type: Special

Primary Security ID: G7S8MZ109

Ticker: PHNX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of ReAssure Group plc	Mgmt	For	For
2	Authorise Issue of Equity in Connection with the Acquisition	Mgmt	For	For

## Watkin Jones Plc

Meeting Date: 13/02/2020

Meeting Type: Annual

Primary Security ID: G94793109

Ticker: WJG



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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Approve Remuneration Report	Mgmt	For	For
4	Re-elect Grenville Turner as Director	Mgmt	For	For
5	Re-elect Richard Simpson as Director	Mgmt	For	For
6	Re-elect Philip Byrom as Director	Mgmt	For	For
7	Re-elect Simon Laffin as Director	Mgmt	For	For
8	Re-elect Liz Reilly as Director	Mgmt	For	For
9	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For
10	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
11	Authorise Issue of Equity	Mgmt	For	For
12	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
13	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
14	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
15	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Man Uk Icvc - Uk Absolute Value Fund

Meeting Date: 17/02/2020

Meeting Type: Special

Primary Security ID: G5789N181

Ticker: KZ9NYW.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Change of Investment Objective, Investment Policy and Related Investment Powers of the Fund	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### Stenprop Ltd.

**Meeting Date:** 19/02/2020

**Meeting Type:** Special

**Primary Security ID:** G8475K107

**Ticker:** STP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal of the Leasehold to the Bleichenhof Property to Projekt Palais Verwaltungs GmbH	Mgmt	For	For
2	Authorise Ratification of Approved Resolutions	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal of the Leasehold to the Bleichenhof Property to Projekt Palais Verwaltungs GmbH	Mgmt	For	For
2	Authorise Ratification of Approved Resolutions	Mgmt	For	For

### Hellenic Telecommunications Organization SA

**Meeting Date:** 20/02/2020

**Meeting Type:** Special

**Primary Security ID:** X3258B102

**Ticker:** HTO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Special Meeting Agenda	Mgmt		
1	Authorize Share Repurchase Program	Mgmt	For	For
2	Approve Cancellation of Repurchased Shares	Mgmt	For	For
3	Various Announcements	Mgmt		

### Highbridge Tactical Credit Fund Ltd.

**Meeting Date:** 20/02/2020

**Meeting Type:** Special

**Primary Security ID:** G1340J157

**Ticker:** HTCF

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Placing Programme	Mgmt	For	For

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Placing Programme	Mgmt	For	For

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## Natixis Intl. Fds. (lux) I - Asg Managed Futures Fund

Meeting Date: 25/02/2020

Meeting Type: Special

Primary Security ID: L6652T648

Ticker: T1CK08.F

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Article 2 Re: Transfer of the Registered Office	Mgmt	For	For
2	Amend Article 6 Re: Bearer Shares	Mgmt	For	For
3	Amend Article 11 Re: Calculation of Net Asset Value per Share	Mgmt	For	For
4	Amend Article 13 Re: Right of the Shareholders to Ask the Board Questions in Writing	Mgmt	For	For
5	Amend Article 15 Re: Suspension of Voting Rights	Mgmt	For	For
6	Amend Article 19 Re: Conflict of Interest	Mgmt	For	For
7	Amend Article 22 Re: General Meeting of Shareholders	Mgmt	For	For
8	Split Article 24 Re: Termination and Amalgamation of Sub-Funds or Classes of Shares of the Company into Two Articles	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

9	Amend Article 30 in Order to Reflect the Modifications Brought to the Rest of the Articles	Mgmt	For	For
10	Approve Minor Amendments to the Articles	Mgmt	For	For

## Natixis Intl. Fds. (lux) I - Loomis Sayles Us Growth Eq. Fd.

Meeting Date: 25/02/2020

Meeting Type: Special

Primary Security ID: L6652S186

Ticker: PMH6KL.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Article 2 Re: Transfer of the Registered Office	Mgmt	For	For
2	Amend Article 6 Re: Bearer Shares	Mgmt	For	For
3	Amend Article 11 Re: Calculation of Net Asset Value per Share	Mgmt	For	For
4	Amend Article 13 Re: Right of the Shareholders to Ask the Board Questions in Writing	Mgmt	For	For
5	Amend Article 15 Re: Suspension of Voting Rights	Mgmt	For	For
6	Amend Article 19 Re: Conflict of Interest	Mgmt	For	For
7	Amend Article 22 Re: General Meeting of Shareholders	Mgmt	For	For
8	Split Article 24 Re: Termination and Amalgamation of Sub-Funds or Classes of Shares of the Company into Two Articles	Mgmt	For	For
9	Amend Article 30 in Order to Reflect the Modifications Brought to the Rest of the Articles	Mgmt	For	For
10	Approve Minor Amendments to the Articles	Mgmt	For	For

## Aberdeen Diversified Income & Growth Trust Plc

Meeting Date: 26/02/2020

Meeting Type: Annual

Primary Security ID: G5487A101

Ticker: ADIG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

2	Approve Remuneration Report	Mgmt	For	For
3	Approve Remuneration Policy	Mgmt	For	For
4	Approve the Company's Dividend Policy	Mgmt	For	For
5	Elect Trevor Bradley as Director	Mgmt	For	For
6	Elect Anna Troup as Director	Mgmt	For	For
7	Re-elect Tom Challenor as Director	Mgmt	For	For
8	Re-elect Julian Sinclair as Director	Mgmt	For	For
9	Re-elect Davina Walter as Director	Mgmt	For	For
10	Appoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
11	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
12	Approve Continuation of Company as Investment Trust	Mgmt	For	For
13	Authorise Issue of Equity	Mgmt	For	For
14	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
15	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
16	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## Gresham House Energy Storage Fund Plc

Meeting Date: 27/02/2020

Primary Security ID: G4110M103

Meeting Type: Special

Ticker: GRID

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### China Longyuan Power Group Corporation Limited

Meeting Date: 28/02/2020

Meeting Type: Special

Primary Security ID: Y1501T101

Ticker: 916

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Zhang Xiaoliang as Director	Mgmt	For	For
2	Elect Hao Jingru as Supervisor	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Zhang Xiaoliang as Director	Mgmt	For	For
2	Elect Hao Jingru as Supervisor	Mgmt	For	For

### Merian Chrysalis Investment Co., Ltd.

Meeting Date: 28/02/2020

Meeting Type: Annual

Primary Security ID: G6000Y113

Ticker: MERI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Ratify KPMG Channel Islands Limited as Auditors	Mgmt	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect Andrew Haining as Director	Mgmt	For	For
6	Elect Stephen Coe as Director	Mgmt	For	For
7	Elect Anne Ewing as Director	Mgmt	For	For
8	Elect Tim Cruttenden as Director	Mgmt	For	For
9	Elect Simon Holden as Director	Mgmt	For	For
10	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### Barings Umbrella Fund Plc - Glb. Investment Grade Strategies

Meeting Date: 02/03/2020

Primary Security ID: G0819L651

Meeting Type: Special

Ticker: N/A

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Memorandum and Articles of Association Re: Adjustment of Redemption Gate	Mgmt	For	For
2	Amend Memorandum and Articles of Association Re: Valuation of Assets	Mgmt	For	For
3	Amend Memorandum and Articles of Association Re: Swing Pricing to Replace Anti-Dilution Levy	Mgmt	For	For
4	Amend Memorandum and Articles of Association Re: Unclaimed Dividends and Share Proceeds	Mgmt	For	For

### Oaktree (lux.) Funds - Non-us Convertible Bond

Meeting Date: 02/03/2020

Primary Security ID: L7011N884

Meeting Type: Annual

Ticker: F7NZYH.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Receive and Approve Board's Report	Mgmt	For	For
2	Receive and Approve Auditor's Report	Mgmt	For	For
3	Approve Financial Statements	Mgmt	For	For
4	Approve Allocation of Income	Mgmt	For	For
5	Approve Discharge of Directors	Mgmt	For	For
6.a	Re-elect John Edwards as Director	Mgmt	For	For
6.b	Re-elect Andrew Murray as Director	Mgmt	For	For
6.c	Re-elect Dominic Keenan as Director	Mgmt	For	For
6.d	Re-elect Sanjay Rathod as Director	Mgmt	For	For
7	Renew Appointment of Ernst & Young as Auditor	Mgmt	For	For
8	Transact Other Business (Voting)	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### NB Global Floating Rate Income Fund Ltd.

**Meeting Date:** 03/03/2020

**Meeting Type:** Special

**Primary Security ID:** G64294104

**Ticker:** NBL5

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Market Purchase of Shares	Mgmt	For	For

### River & Mercantile UK Micro Cap Investment Co. Ltd.

**Meeting Date:** 04/03/2020

**Meeting Type:** Annual

**Primary Security ID:** G7550P130

**Ticker:** RMMC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Re-elect Andrew Chapman as Director	Mgmt	For	For
4	Re-elect Ian Burns as Director	Mgmt	For	For
5	Re-elect Trudi Clark as Director	Mgmt	For	For
6	Re-elect Mark Hodgson as Director	Mgmt	For	For
7	Ratify PricewaterhouseCoopers CI LLP as Auditors and Authorise Their Remuneration	Mgmt	For	For
8	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For

### Urban Logistics REIT Plc

**Meeting Date:** 09/03/2020

**Meeting Type:** Special

**Primary Security ID:** G6853M109

**Ticker:** SHED



## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity Pursuant to the Issue and the LTIP	Mgmt	For	For
2	Authorise Issue of Equity without Pre-emptive Rights Pursuant to the Issue and the LTIP	Mgmt	For	For
3	Authorise Issue of Equity	Mgmt	For	For
4	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	Against

## Pattern Energy Group Inc.

Meeting Date: 10/03/2020

Meeting Type: Special

Primary Security ID: 70338P100

Ticker: PEGI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	Against
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against
3	Adjourn Meeting	Mgmt	For	Against

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	Against
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against
3	Adjourn Meeting	Mgmt	For	Against

## Real Estate Credit Investments Ltd.

Meeting Date: 10/03/2020

Meeting Type: Special

Primary Security ID: G73132105

Ticker: RECI

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Capital Raising	Mgmt	For	For

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Capital Raising	Mgmt	For	Against

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## CareTech Holdings Plc

Meeting Date: 17/03/2020

Meeting Type: Annual

Primary Security ID: G19848103

Ticker: CTH

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Re-elect Farouq Sheikh as Director	Mgmt	For	For
5	Re-elect Haroon Sheikh as Director	Mgmt	For	For
6	Elect Christopher Dickinson as Director	Mgmt	For	For
7	Elect Moira Livingston as Director	Mgmt	For	For
8	Reappoint Grant Thornton UK LLP as Auditors	Mgmt	For	For
9	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
10	Authorise Issue of Equity	Mgmt	For	For
11	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
12	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For
13	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For

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## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### Eurovestech Plc

Meeting Date: 17/03/2020

Meeting Type: Annual

Primary Security ID: G32096102

Ticker: EVT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reappoint Lawrence Johns Chartered Accountants as Auditors and Authorise Their Remuneration	Mgmt	For	For
3	Re-elect Richard Grogan as Director	Mgmt	For	For
4	Authorise Issue of Equity	Mgmt	For	For
5	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
6	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For

### Safestore Holdings Plc

Meeting Date: 18/03/2020

Meeting Type: Annual

Primary Security ID: G77733106

Ticker: SAFE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Remuneration Report	Mgmt	For	For
3	Reappoint Deloitte LLP as Auditors	Mgmt	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Approve Final Dividend	Mgmt	For	For
6	Elect David Hearn as Director	Mgmt	For	For
7	Re-elect Frederic Vecchioli as Director	Mgmt	For	For
8	Re-elect Andy Jones as Director	Mgmt	For	For
9	Re-elect Ian Krieger as Director	Mgmt	For	For
10	Re-elect Joanne Kenrick as Director	Mgmt	For	For
11	Re-elect Claire Balmforth as Director	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

12	Re-elect Bill Oliver as Director	Mgmt	For	For
13	Approve Remuneration Policy	Mgmt	For	For
14	Approve Long Term Incentive Plan	Mgmt	For	For
15	Authorise EU Political Donations and Expenditure	Mgmt	For	For
16	Authorise Issue of Equity	Mgmt	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For

## SDCL Energy Efficiency Income Trust Plc

Meeting Date: 18/03/2020

Meeting Type: Special

Primary Security ID: G7T96H104

Ticker: SEIT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Amendment to the Company's Investment Objective and Policy	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Amendment to the Company's Investment Objective and Policy	Mgmt	For	For

## Castellum AB

Meeting Date: 19/03/2020

Meeting Type: Annual

Primary Security ID: W2084X107

Ticker: CAST

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Chairman of Meeting	Mgmt	For	For
2	Prepare and Approve List of Shareholders	Mgmt	For	For
3	Approve Agenda of Meeting	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

4	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For
6.a	Receive Financial Statements and Statutory Reports	Mgmt		
6.b	Receive Auditor's Report on Application of Guidelines for Remuneration for Executive Management	Mgmt		
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For
8	Approve Allocation of Income and Dividends of SEK 6.50 Per Share	Mgmt	For	For
9	Approve Discharge of Board and President	Mgmt	For	For
10	Amend Articles Re: Participation on General Meetings; Editorial Changes	Mgmt	For	For
11	Receive Nominating Committees Report	Mgmt		
12	Determine Number of Directors (8) and Deputy Directors (0) of Board; Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	For	For
13	Approve Remuneration of Directors in the Amount of SEK 1 Million to Chairman and SEK 425,000 to Other Board Members; Approve Remuneration for Committee Work; Approve Remuneration of Auditors	Mgmt	For	For
14.a	Reelect Charlotte Stromberg as Director (Chairman)	Mgmt	For	For
14.b	Reelect Per Berggren as Director	Mgmt	For	For
14.c	Reelect Anna-Karin Hatt as Director	Mgmt	For	For
14.d	Reelect Christer Jacobson as Director	Mgmt	For	For
14.e	Reelect Christina Karlsson Kazeem as Director	Mgmt	For	For
14.f	Reelect Nina Linander as Director	Mgmt	For	For
14.g	Elect Sdravko Markovski as New Director	Mgmt	For	For
14.h	Elect Joacim Sjoberg as New Director	Mgmt	For	For
15	Ratify Deloitte as Auditors	Mgmt	For	For
16	Authorize Representatives of Four of Company's Largest Shareholders to Serve on Nominating Committee	Mgmt	For	For
17	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	For
18	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	For	For
19	Authorize Share Repurchase Program and Reissuance of Repurchased Shares	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### Hadrian's Wall Secured Investments Ltd.

Meeting Date: 20/03/2020

Meeting Type: Special

Primary Security ID: G4211U103

Ticker: HWSL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt the New Investment Objective and Policy of the Company	Mgmt	For	For
2	Amend Articles of Incorporation	Mgmt	For	For
3	Approve Change of Company Name to HWSI Realisation Fund Limited	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt the New Investment Objective and Policy of the Company	Mgmt	For	For
2	Amend Articles of Incorporation	Mgmt	For	For
3	Approve Change of Company Name to HWSI Realisation Fund Limited	Mgmt	For	For

### Hermes Invt. Funds - Absolute Return Credit Fund

Meeting Date: 20/03/2020

Meeting Type: Special

Primary Security ID: G4R10N194

Ticker: K9SFPZ.F

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Change of Company Name to Federated Hermes Investment Funds Public Limited Company; Amend Memorandum and Articles of Association	Mgmt	For	For

### Hermes Invt. Funds - Asia (ex-japan) Equity Fund

Meeting Date: 20/03/2020

Meeting Type: Special

Primary Security ID: G4R10C156

Ticker: T1PX29.F

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Change of Company Name to Federated Hermes Investment Funds Public Limited Company; Amend Memorandum and Articles of Association	Mgmt	For	For

## Hermes Invt. Funds - Us Smid Equity Fund

Meeting Date: 20/03/2020

Meeting Type: Special

Primary Security ID: G4419Q813

Ticker: MRLM3N.F

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Change of Company Name to Federated Hermes Investment Funds Public Limited Company; Amend Memorandum and Articles of Association	Mgmt	For	For

## SGS SA

Meeting Date: 24/03/2020

Meeting Type: Annual

Primary Security ID: H7485A108

Ticker: SGSN

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.2	Approve Remuneration Report (Non-Binding)	Mgmt	For	For
2	Approve Discharge of Board and Senior Management	Mgmt	For	For
3	Approve Allocation of Income and Dividends of CHF 80.00 per Share	Mgmt	For	For
4.1a	Reelect Paul Desmarais as Director	Mgmt	For	For
4.1b	Reelect August Francois von Finck as Director	Mgmt	For	For
4.1c	Reelect Ian Gallienne as Director	Mgmt	For	For
4.1d	Reelect Calvin Grieder as Director	Mgmt	For	For
4.1e	Reelect Cornelius Grupp as Director	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

4.1f	Reelect Gerard Lamarche as Director	Mgmt	For	For
4.1g	Reelect Shelby du Pasquier as Director	Mgmt	For	For
4.1h	Reelect Kory Sorenson as Director	Mgmt	For	For
4.1i	Elect Sami Atiya as Director	Mgmt	For	For
4.1j	Elect Tobias Hartmann as Director	Mgmt	For	For
4.2	Elect Calvin Grieder as Board Chairman	Mgmt	For	For
4.3.1	Appoint Ian Gallienne as Member of the Compensation Committee	Mgmt	For	Against
4.3.2	Appoint Shelby du Pasquier as Member of the Compensation Committee	Mgmt	For	Against
4.3.3	Appoint Kory Sorenson as Member of the Compensation Committee	Mgmt	For	For
4.4	Ratify Deloitte SA as Auditors	Mgmt	For	For
4.5	Designate Jeandin & Defacqz as Independent Proxy	Mgmt	For	For
5.1	Approve Remuneration of Directors in the Amount of CHF 2.3 Million	Mgmt	For	For
5.2	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 14 Million	Mgmt	For	For
5.3	Approve Variable Remuneration of Executive Committee in the Amount of CHF 7 Million	Mgmt	For	For
6	Transact Other Business (Voting)	Mgmt	For	Against

## Asahi Group Holdings Ltd.

Meeting Date: 25/03/2020

Meeting Type: Annual

Primary Security ID: J02100113

Ticker: 2502

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 48	Mgmt	For	For
2.1	Elect Director Izumiya, Naoki	Mgmt	For	For
2.2	Elect Director Koji, Akiyoshi	Mgmt	For	For
2.3	Elect Director Katsuki, Atsushi	Mgmt	For	For
2.4	Elect Director Hemmi, Yutaka	Mgmt	For	For
2.5	Elect Director Taemin Park	Mgmt	For	For
2.6	Elect Director Tanimura, Keizo	Mgmt	For	For
2.7	Elect Director Kosaka, Tatsuro	Mgmt	For	For



## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

2.8	Elect Director Shingai, Yasushi	Mgmt	For	For
2.9	Elect Director Christina L. Ahmadjian	Mgmt	For	For
3	Appoint Statutory Auditor Nishinaka, Naoko	Mgmt	For	For

## AVI Japan Opportunity Trust plc

Meeting Date: 26/03/2020

Primary Security ID: GOR1NH109

Meeting Type: Annual

Ticker: AJOT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Norman Crighton as Director	Mgmt	For	For
4	Elect Yoshi Nishio as Director	Mgmt	For	For
5	Elect Margaret Stephens as Director	Mgmt	For	For
6	Elect Ekaterina Thomson as Director	Mgmt	For	For
7	Appoint BDO LLP as Auditors	Mgmt	For	For
8	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For
9	Approve Remuneration Report	Mgmt	For	For
10	Approve Remuneration Policy	Mgmt	For	For
11	Authorise Issue of Equity	Mgmt	For	For
12	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For
13	Authorise Issue of Equity without Pre-emptive Rights (Additional Authority)	Mgmt	For	For
14	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
15	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For
16	Adopt New Articles of Association	Mgmt	For	For
17	Authorise the Company to Use Electronic Communications	Mgmt	For	For

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

### AVI Japan Opportunity Trust plc

Meeting Date: 26/03/2020

Meeting Type: Special

Primary Security ID: GOR1NH109

Ticker: AJOT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorise Issue of Equity Pursuant to the Initial Issue	Mgmt	For	For
2	Authorise Issue of Equity Pursuant to the Placing Programme	Mgmt	For	For
3	Authorise Issue of Equity without Pre-emptive Rights Pursuant to the Initial Issue	Mgmt	For	For
4	Authorise Issue of Equity without Pre-emptive Rights Pursuant to the Placing Programme	Mgmt	For	For

### AfreecaTV Co., Ltd.

Meeting Date: 27/03/2020

Meeting Type: Annual

Primary Security ID: Y63806106

Ticker: 067160

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For
2.1	Elect Seo Su-gil as Inside Director	Mgmt	For	For
2.2	Elect Jeong Chan-yong as Inside Director	Mgmt	For	For
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For
4	Authorize Board to Fix Remuneration of Internal Auditor(s)	Mgmt	For	For

### Cia de Saneamento do Parana

Meeting Date: 27/03/2020

Meeting Type: Special

Primary Security ID: P3058Y103

Ticker: SAPR4

## Vote Summary Report

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Capitalization of Reserves	Mgmt	For	For
2	Approve 3-for-1 Stock Split	Mgmt	For	For
3	Amend Articles	Mgmt	For	Against

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Capitalization of Reserves	Mgmt	For	For
2	Approve 3-for-1 Stock Split	Mgmt	For	For
3	Amend Articles	Mgmt	For	Against

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## Broadcom Inc.

Meeting Date: 30/03/2020

Meeting Type: Annual

Primary Security ID: 11135F101

Ticker: AVGO

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Hock E. Tan	Mgmt	For	For
1b	Elect Director Henry Samueli	Mgmt	For	For
1c	Elect Director Eddy W. Hartenstein	Mgmt	For	For
1d	Elect Director Diane M. Bryant	Mgmt	For	For
1e	Elect Director Gayla J. Delly	Mgmt	For	For
1f	Elect Director Raul J. Fernandez	Mgmt	For	For
1g	Elect Director Check Kian Low	Mgmt	For	For
1h	Elect Director Justine F. Page	Mgmt	For	For
1i	Elect Director Harry L. You	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against

**Vote Summary Report**

Reporting Period: 01/01/2020 to 31/03/2020

Location(s): All Locations