

Premier Fund Managers Limited (CRN: 02274227)

s.172 (1) Companies Act 2006 disclosures

Stakeholder Interests

In promoting the success of the Company, the Directors are called upon to have regard, amongst other things, to the provisions of section 172 of the Companies Act 2006, which requires the Board to consider the wider implications of its decision making. The ways in which the Directors have discharged their duties in accordance with s.172 are described below.

Shareholders

The Company's shareholder is a wholly owned Group subsidiary and during the year, shareholder approval was sought in respect of amending the articles of association.

People

Our team are instrumental in the execution of our Group strategy, and the board receive regular updates on people initiatives from the HR Director. Additionally, the SMCR function holders in relation to this entity provide assurance at regular intervals that responsibilities are being met, and SMCR focused training is undertaken regularly.

Clients

Our purpose is to provide investment products that meet the needs of investors. The overall success of the business depends on how well we achieve this mission. The Board receives regular updates on advisory and discretionary client engagement to understand how client needs are evolving.

Regulators

As a UK asset manager largely serving UK investors, we are regulated by the Financial Conduct Authority. We are actively engaged, notably via the Quoted Companies Alliance and the Investment Association to ensure that we remain alert to legal and regulatory change which we consider ensures that we continue to act in the best interests of our clients.

Suppliers

The selection process and engagement with any third-party providers is guided by our Procurement Policy, supported by suitable due diligence processes, training and specific policies where applicable, and in accordance with the intentions of our Modern Slavery statement. Together these measures aim to minimise risks inherent in supply chains.

Community and Environment

The Group is committed to an active and growing role in corporate social responsibility and building a more sustainable future. Key initiatives have been developed through an active Environmental Committee, plus active engagement with industry bodies on Responsible Investment and climate change matters.

Approved by the board of directors of Premier Fund Managers Limited on 2 December 2020.